

FUNDAMENTAL LIMITS ON THERMODYNAMIC COSTS OF CIRCUITS

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Joint work with

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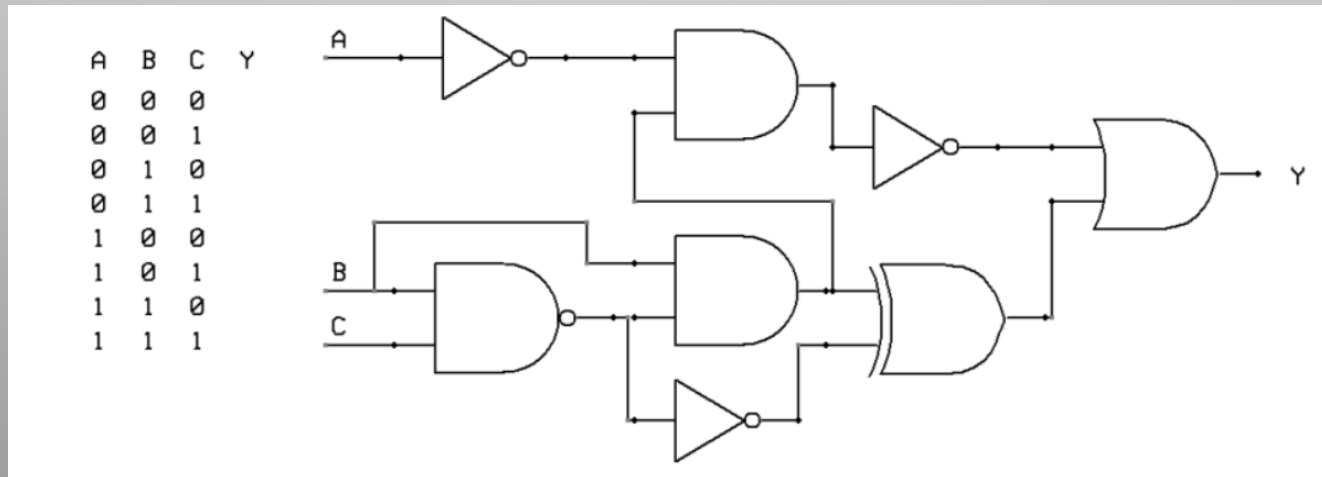
<https://centre.santafe.edu/thermocomp>

- ~5% energy use in developed countries goes to heating digital circuits
- Cells “compute” ~ 10^5 times more efficiently than CMOS computers
- In fact, *biosphere as a whole* is more efficient than supercomputers
- Deep connections among information theory, physics and (neuro)biology



Analyze relation between circuit's design and the heat it produces

- Calculate heat produced by running each gate in a circuit
- Sum over all gates to get total heat produced by running the full circuit



- Must be able to calculate heat produced by running an arbitrary gate...

(See N. Gershenfeld, IBM Systems Journal 35, 577 (1996))

Consider a (perhaps time-varying) master equation that sends $p_0(x)$ to $p_1(x) = \sum_{x_0} P(x_1 | x_0) p_0(x)$.

- Example: Stochastic dynamics in a genetic network
- Example: (Noise-free) dynamics of a digital gate in a circuit

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- Example: Stochastic dynamics in a genetic network network
- Example: (Noise-free) dynamics of a digital gate in a circuit

$$S(p_1) - S(p_0) = EF(p_0) + EP(p_0)$$

where:

- $S(p)$ is **Shannon entropy** of p
- $EF(p_0)$ is total **entropy flow** (into system) between $t = 0$ and $t = 1$
- $EP(p_0)$ is total **entropy production** in system between $t = 0$ and $t = 1$

(For the moment, precise definitions of EF and EP omitted)

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$$EF(p_0) = S(p_0) - S(p_1) + EP(p_0)$$

$EP(p_0)$ is **non-negative** (regardless of the master equation)

(See Van Den Broeck and Esposito, *Physica A*, 2015)

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$$EF(p_0) \geq S(p_0) - S(p_1)$$

“Generalized Landauer's bound”

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“Generalized Landauer's bound”

So far, all math, no physics ...

STATISTICAL PHYSICS APPLICATION

Suppose system evolves while connected to multiple reservoirs, e.g., heat baths at different temperatures.

Assume “**local detailed balance**” holds for those reservoirs (usually true)

Then: ***EF(p₀) is (temperature-normalized) heat flow into reservoirs***

$$EF(p_0) = S(p_0) - S(p_1) + EP(p_0)$$

Generalized Landauer's bound:
Heat flow from system $\geq S(p_0) - S(p_1)$

$S(p_0) - S(p_1)$ called “**Landauer cost**” – minimal possible heat flow

EXAMPLE

- System evolves while connected to single heat bath at temperature T
- Two possible states
- p_0 uniform
- Process implements bit erasure (so p_1 a delta function)

So generalized Landauer's bound says

$$\text{Total heat flow from system} \geq kT \ln[2]$$

Landauer's conclusion

*(Parrondo et al. 2015, Sagawa 2014,
Hasegawa et al. 2010, Wolpert 2015, etc.)*

BACK TO EXACT RESULTS

$$EF(p_0) = S(p_0) - S(p_1) + EP(p_0)$$

- For fixed $P(x_1 | x_0)$, changing p_0 changes $S(p_0) - S(p_1)$
- Same physical gate (e.g., an AND gate, made in a particular factory) has different p_0 , depending on where it is in a circuit.
- So identical gates at different locations in a circuit have different Landauer costs, $S(p_0) - S(p_1)$

Different circuits all implementing same Boolean function have different sum-total Landauer cost

➤ A new circuit design optimization problem

- But EF is the Landauer cost *plus the EP*:

$$EF(p_0) = S(p_0) - S(p_1) + EP(p_0)$$

- For fixed $P(x_1 | x_0)$, e.g., a fixed gate, changing p_0 changes $EP(p_0)$ as well as changing $S(p_0) - S(p_1)$
- So identical gates at different locations in a circuit will have different EP

Need to know how $EP(p_0)$ depends on p_0

... just to define the optimization problem of designing a circuit to minimize total EF
- never mind solve that problem

Theorem: For any p_0 , and any master equation, entropy production is

$$EP(p_0) = KL[p_0(X), q_0(X)] - KL[p_1(X), q_1(X)] + \sum_c p_0(c) EP(q_0^c)$$

where:

- $KL(., .)$ is Kullback-Leibler divergence
- $q_0(x)$ is a “prior” built into the system; EP is minimal if $p_0 = q_0$ and EP is nonzero if they differ, i.e., if you “guess wrong” when designing the physical system.
- The sum is over “islands” (graph theory) of the dynamics.

(Kolchinsky and Wolpert 2017, Kolchinsky and Wolpert 2018)

So entropy flow out of a system – the thermodynamic cost – is

$$EF(p_0) = K[p_0(X), q_0(X)] - K[p_1(X), q_1(X)] + \sum_c p_0(c) EP(q_0^c)$$

where $K(., .)$ is cross-entropy.

Applies to:

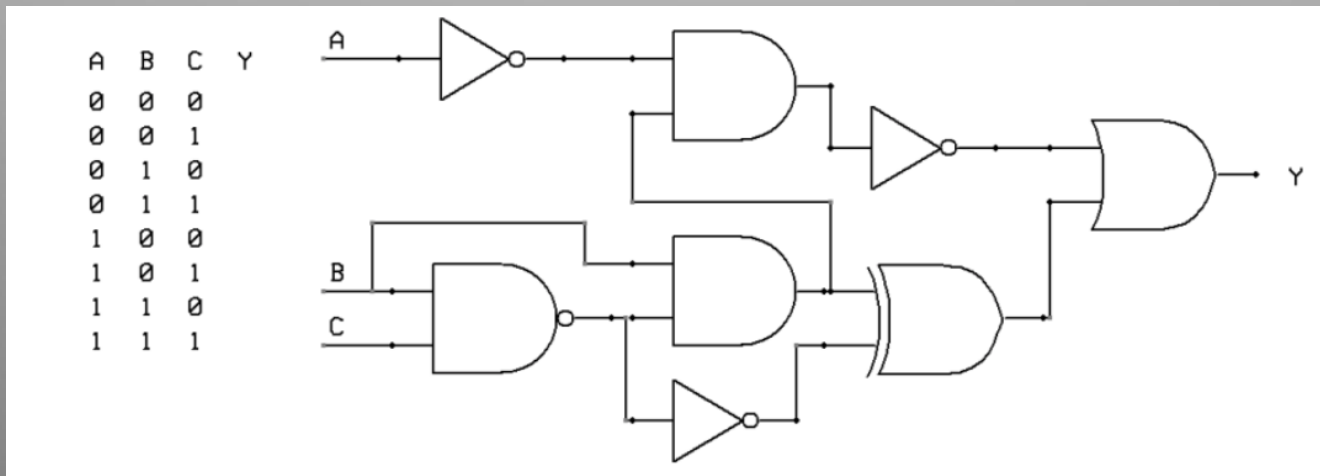
- Each **gate** in a digital circuit
- Each **wire** in a digital circuit
- Each “gate” in a noisy circuit, e.g., in a **genetic circuit**
- Each **reaction** in a stochastic chemical reaction network

Total entropy flow out of circuit – the thermodynamic cost – is

$$\sum_g \left(K[p^{pa(g)}(X), q^{pa(g)}(X)] - K[p^g(X), q^g(X)] + \sum_{c \in L(g)} p^{pa(g)}(c) EP(q^{pa(g);c}) \right)$$

where:

- g indexes the circuit's gates
- $pa(g)$ is parent gates of g in circuit (so $p^{pa(g)}$ is joint distribution into g)
- $L(g)$ is the set of islands of (function implemented by) gate g



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To focus on information theory, assume every $EP(q^{pa(g);c}) = 0$

Total entropy flow out of circuit – the thermodynamic cost – is

$$\sum_g \left(K [p^{pa(g)}(X), q^{pa(g)}(X)] - K [p^g(X), q^g(X)] \right)$$

where:

- g indexes the circuit's gates
- $pa(g)$ is parent gates of g in circuit (so $p_0^{pa(g)}$ is joint distribution into g)

-
- For any circuit C , the “*All-at-once gate*”, $AO(C)$, is a single gate that computes same function as C .

Rest of talk: Compare
Landauer costs and total EF for C and $AO(C)$

Notation:

$$I(P(X_1, X_2, \dots)) = [\sum_i S(P(X^i))] - S(P(X_1, X_2, \dots))$$

- “**Multi-information**” (a generalization of mutual information)

$$I^D(P, R) = D(P, R) - [\sum_i D(P(X^i), R(X^i))]$$

- “**KL Multi-information**” (a generalization of multi-information based on a “reference prior” R)

$$I^K(P, R) = [\sum_i K(P(X^i), R(X^i))] - K(P, R)$$

- “**Cross Multi-information**” (multi-information of P minus KL multi-information between P and R)

EF for running circuit C on input distribution p when optimal distribution is q:

$$EF_C(p, q) = \sum_g (K_g [p^{pa(g)}, q^{pa(g)}] - K_g [p^g, q^g])$$

EF for running AO gate that implements same input-output function as C:

$$EF_{AO(C)}(p, q) = K_{in} [p^{in}, q^{in}] - K_{out} [p^{out}, q^{out}]$$

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EF for running AO gate that implements same input-output function as C:

$$EF_{AO(C)}(p, q) = K_{in} [p^{in}, q^{in}] - K_{out} [p^{out}, q^{out}]$$

Thermodynamic penalty / gain by using C rather than AO(C):

$$\begin{aligned} \Delta EF_C(p, q) &= EF_C(p, q) - EF_{AO(C)}(p, q) \\ &= I^K(p, q) - \sum_g I^K(p^{pa(g)}, q^{pa(g)}) \end{aligned}$$

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where $I^K(p, q)$ is cross multi-information

When $p = q$, $\Delta EF_C(p, q)$ **cannot be negative**

- Indeed, it can be $+\infty$.

So never an advantage to using a circuit ... if $p = q$, i.e., if one “guessed right” when designing every single gate.

Thermodynamic penalty / gain by using C rather than AO(C):

$$\begin{aligned}\Delta EF_C(p, q) &= EF_C(p, q) - EF_{AO(C)}(p, q) \\ &= I^K(p, q) - \sum_g I^K(p^{pa(g)}, q^{pa(g)})\end{aligned}$$

So never an advantage to using a circuit, if $p = q$.

However in real world, *too expensive to build an AO gate.*

Even if $p = q$, there are circuits where total (Landauer) cost is infinite!

So, even if $p = q$, if we can't use an AO gate,

what circuit to use to implement a given function?

Thermodynamic penalty / gain by using C rather than AO(C):

$$\begin{aligned}\Delta EF_C(p, q) &= EF_C(p, q) - EF_{AO(C)}(p, q) \\ &= I^K(p, q) - \sum_g I^K(p^{pa(g)}, q^{pa(g)})\end{aligned}$$

(Partial) answer: if $p = q$, extra EF if we use C' rather than C :

$$\sum_{g \in C'} I(p^{pa(g)}) - \sum_{g \in C} I(p^{pa(g)})$$

I.e., choose circuit with ***smallest multi-informations*** of input distributions into its gates.

However if $p \neq q$, extra *EP* if use C rather than AO(C) is

$$-I^D(p, q) + \sum_g I^D(p^{pa(g)}, q^{pa(g)})$$

This can be positive *or negative*

When – as in real world – we aren't lucky enough to have gates obey $p = q$, using a circuit C rather than AO(C) may either increase or decrease EP

Even worse: if $p \neq q$, extra *total entropy flow* if use C rather than AO(C) is

$$I^K(p, q) - \sum_g I^K(p^{pa(g)}, q^{pa(g)})$$

This can be positive ***or negative***

In fact, extra EF can be either $-\infty$ or $+\infty$

When – as in real world – we aren't lucky enough to have gates obey $p = q$, using a circuit C rather than AO(C) may either increase or decrease *total entropy flow out of circuit.*

Even worse: if $p \neq q$, extra *total entropy flow* if use C rather than AO(C) is

$$I^K(p, q) - \sum_g I^K(p^{pa(g)}, q^{pa(g)})$$

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When – as in real world – we aren't lucky enough to have gates obey $p = q$, using a circuit C rather than AO(C) may either increase or decrease *total entropy flow out of circuit.*

So, if $p \neq q$,

what circuit to use to implement a given function?

OTHER RESULTS

- Some sufficient conditions for C to have greater EP than $AO(C)$
- Some sufficient conditions for C to have less EP than $AO(C)$
- Analysis when *outdegrees of some gates* > 1
- Analysis when *prior distributions* q_g at each gate g are arbitrary.
- Analysis accounting for thermodynamic costs of wires

OTHER RESULTS

- A *family of circuits* refers to any set of circuits that all “implement the same function”, just for differing numbers of input bits
 - **Circuit complexity theory** analyzes how costs (e.g., number of gates) of each circuit in a family of circuits scale with number of input bits.
 - *Extension of circuit complexity theory to include thermodynamics costs.*
- Analysis for “**logically reversible circuits**” - circuits built out of Fredkin gates, with enough extra gates added to remove all “garbage bits”.

CONCLUSIONS

- Exact equations for entire entropy flow of a system:

$$K(p_0, q_0) - K(p_1, q_1) = \text{Landauer cost} + \text{EP}$$

- Different circuits, all implementing the same function, all using thermodynamically reversible gates, have different thermodynamic costs.
- Landauer cost of a circuit $C \geq$ Landauer cost of $\text{AO}(C)$
- Mismatch cost of a circuit C can be either greater or less than mismatch cost of $\text{AO}(C)$. Same for total work of running C vs. $\text{AO}(C)$.
- Lots of future research!

D. H. Wolpert and A. Kolchinsky, arXiv:1806.04103 (2018)

Wiki on thermodynamics of computation:

<https://centre.santafe.edu/thermocomp>

Please visit and start to add material!